

## Declaration For Patent Application

### 特許出願宣言書

## Japanese Language Declaration

### 日本語宣言書

下記の氏名の発明者として、私は以下の通り宣言します。

下記の名称の発明に関して請求範囲に記載され、特許出願している発明内容について、私が最初かつ唯一の発明者（下記の氏名が一つの場合）もしくは最初かつ共同発明者（下記の名称が複数の場合）であると信じています。

上記発明の明細書は、

☐ 本書に添付されています。

☐ \_\_\_\_\_ 月 \_\_\_\_\_ 日に提出され、米国出願番号または特

許協定条約国際出願番号を

\_\_\_\_\_ とし、

(該当する場合) \_\_\_\_\_ に訂正されました。

私は、特許請求範囲を含む上記訂正後の明細書を検討し、内容を理解していることをここに表明します。

私は、連邦規則法典第 37 編第 1 条 56 項に定義されるとおり、特許資格の有無について重要な情報を開示する義務があることを認めます。継続願書一部分を含む資料案内は前回の願書記入日から、米国願書または国際特許協定条約継続願書記入日の間に入手できます。

As a below-named inventor, I hereby declare that:

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled.

Method for producing a 3,5-dihydroxy-6-heptenOate

the specification of which

☐ is attached hereto.

☒ was filed on September 11, 2003

as United States Application Number or PCT International Application Number

PCT/JP03/11643 and was amended on

\_\_\_\_\_ (if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.

## Japanese Language Declaration (日本語宣言書)

私は、私自身の知識に基づいて本宣言書中で私が行なう表明が真実であり、かつ私の入手した情報と私の信じることに基づく表明が全て真実であると信じていること、さらに故意になされた虚偽の表明及びそれと同等の行為は米国法典第18編第1001条に基づき、罰金または拘禁、もしくはその両方により処罰されること、そしてそのような故意による虚偽の声明を行なえば、出願した、又は既に許可された特許の有効性が失われることを認識し、よってここに上記のごとく宣誓を致します。

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

単独発明者または第 1 の共同発明者の氏名	Full name of sole or first inventor <u>Yuji Yoshimura</u>	
発明者の署名	Inventor's signature <u>Yuji Yoshimura</u>	Date <u>Feb. 21, 2005</u>
国籍	Citizenship Japan	

  

第 2 の共同発明者の氏名	Full name of second joint inventor, If any <u>Masami Yasukawa</u>	
第 2 の共同発明者の署名	Second inventor's signature <u><del>Syuzi Marikawa</del></u>	Date <u><del>Feb 22, 2005</del></u>
国籍	Citizenship Japan	

*Masami Yasukawa Feb. 28, 2005*

第三の共同発明者（該当する場合）		Full name of third joint inventor, if any <u>Syuji Morikiyo</u>	
第三発明者の署名	日付	Third inventor's signature <u>Syuji Morikiyo</u>	Date <u>Feb. 22, 2005</u>
国籍		Citizenship Japan	
第四の共同発明者（該当する場合）		Full name of fourth joint inventor, if any <u>Hiroo Matsumoto</u>	
第四発明者の署名	日付	Fourth inventor's signature <u>Hiroo Matsumoto</u>	Date <u>Feb. 17, 2005</u>
国籍		Citizenship Japan	
第五の共同発明者（該当する場合）		Full name of fifth joint inventor, if any <u>Yasutaka Takada</u>	
第五発明者の署名	日付	Fifth inventor's signature <u>Yasutaka Takada</u>	Date <u>Feb. 17, 2005</u>
国籍		Citizenship Japan	
第六の共同発明者（該当する場合）		Full name of sixth joint inventor, if any <u>Michiaki Adachi</u>	
第六発明者の署名	日付	Sixth inventor's signature <u>Michiaki Adachi</u>	Date <u>Feb. 17, 2005</u>
国籍		Citizenship Japan	
第七の共同発明者（該当する場合）		Full name of seventh joint inventor, if any	
第七発明者の署名	日付	Seventh inventor's signature	Date
国籍		Citizenship	
第八の共同発明者（該当する場合）		Full name of eighth joint inventor, if any	
第八発明者の署名	日付	Eighth inventor's signature	Date
国籍		Citizenship	
第九の共同発明者（該当する場合）		Full name of ninth joint inventor, if any	
第九発明者の署名	日付	Ninth inventor's signature	Date
国籍		Citizenship	
第十の共同発明者（該当する場合）		Full name of tenth joint inventor, if any	
第十発明者の署名	日付	Tenth inventor's signature	Date
国籍		Citizenship	

**GENERAL POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE  
UNITED STATES PATENT AND TRADEMARK OFFICE**

I hereby appoint:

☒ Practitioners associated with the Customer Number

**22850**

as attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(b).

Assignee Name and Address:

Nissan Chemical Industries, Ltd.

7-1, Kanda-Nishiki-cho 3-chome, Chiyoda-ku, Tokyo 101-0054 Japan

A statement under 37 CFR 3.73(b) is attached.

**SIGNATURE OF ASSIGNEE OF RECORD**

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee

Signature	<i>Takuya Kakuta</i>	Date: Feb. 7, 2005
Name	Takuya Kakuta	Telephone:
Title	General Manager, Intellectual Property Department	

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Yuji YOSHIMURA, et al.

Application No./Patent No.: PCT/JP03/11643 New U.S. PCT Application Based on Filed/Issue Date: Herewith

Entitled: METHOD FOR PRODUCING A 3,5-DIHYDROXY-6-HEPTENOATE

Nissan Chemical Industries, Ltd., a Corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, government agency, etc.)

States that it is:

1. ☒ the assignee of the entire right, title, and interest; or  
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of an assignment from the inventor(s) of the patent application/patent identified above. A copy of the assignment is attached.

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

<u>Surinder Sachar</u>	<u>Surinder Sachar</u>	<u>March 17 2005</u>
Signature	Registration No. 34,423	Date
<u>Norman F. Oblon</u>		<u>703-413-3000</u>
Printed or Typed Name		Telephone Number
<u>24,618</u>		
Registration Number		

## Assignment of Application

COPY

WHEREAS, I (WE) Yuji Yoshimura, Onoda-shi, Yamaguchi, Japan,

INSERT NAMES  
AND RESIDENCE  
ADDRESS OF  
THE INVENTORS:

Masami Yasukawa, Sodegaura-shi, Chiba, Japan,

Syuji Morikiyo, Onoda-shi, Yamaguchi, Japan,

Hiroo Matsumoto, Funabashi-shi, Chiba, Japan,

Yasutaka Takada, Funabashi-shi, Chiba, Japan and

Michiaki Adahi, Funabashi-shi, Chiba, Japan

..., respectively,

have invented certain new and useful improvements in: METHOD FOR PRODUCING A 3,5-DIHYDROXY-6-HEPTENOATE

INSERT TITLE OF  
INVENTION:

(Application No. \_\_\_\_\_, filed \_\_\_\_\_), and

WHEREAS, Nissan Chemical Industries, Ltd.

INSERT NAME  
AND ADDRESS OF  
COMPANY OR  
OTHER ASSIGNEE

(hereinafter referred to as "ASSIGNEE") having a place of business at: 7-1, Kanda-Nishiki-cho, 3-chome, Chiyoda-ku, Tokyo 101-0054 Japan is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent that may be granted therefore in the United States and its territorial possessions and in any and all foreign countries;

NOW, THEREFORE, in consideration of the sum of FIVE DOLLARS (\$5.00), the receipt whereof is hereby acknowledged, and for other good and valuable consideration, I (WE), by these presents do sell, assign and transfer unto said ASSIGNEE, the full and exclusive right to the said invention in the United States and its territorial possessions and in all foreign countries and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations, substitutions and renewals thereof.

I (WE) hereby authorize and request the Patent Office Officials in the United States and its territorial possessions and any and all foreign countries to issue any and all of said Letters Patent, when granted, to said ASSIGNEE as the assignee of my (our) entire right, title and interest in and to the same, for the sole use and behoof of said ASSIGNEE, its (his) successors and assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by me (us) had this Assignment and sale not been made.

Further, I (WE) agree that I (WE) will communicate to said ASSIGNEE or its (his) representatives any facts known to me (us) respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letter Patent to be issued to said ASSIGNEE, make all rightful oaths, and, generally do everything possible to aid said ASSIGNEE, its (his) successors and assigns, to obtain and enforce proper protection for said invention in the United States and its territorial possessions and in any and all foreign countries.

The undersigned hereby grant(s) the firm of Oblon, Spivak, McClelland, Maier & Neustadt, P.C. of 1940 Duke Street, Alexandria, Virginia 22314 the power to insert on this assignment any further identification, including the application number and filing date, which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Date: <u>Feb. 21, 2005</u>	<u>Yuji Yoshimura</u> (Signature of Inventor) Yuji Yoshimura
Date: <u>Feb. 28, 2005</u>	<u>Masami Yasukawa</u> (Signature of Inventor) Masami Yasukawa
Date: <u>Feb. 22, 2005</u>	<u>Syuji Morikiyo</u> (Signature of Inventor) Syuji Morikiyo
Date: <u>February 17, 2005</u>	<u>Hiroo Matsumoto</u> (Signature of Inventor) Hiroo Matsumoto
Date: <u>Feb. 17, 2005</u>	<u>Yasutaka Takada</u> (Signature of Inventor) Yasutaka Takada
Date: <u>Feb. 17, 2005</u>	<u>Michiaki Adachi</u> (Signature of Inventor) Michiaki Adachi

**OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.**  
ATTORNEYS AT LAW  
1940 DUKE STREET  
ALEXANDRIA, VIRGINIA 22314

COPY